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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/037,563
	Filing Date	Dec 21, 2001
	First Named Inventor	Pruitt, Ronald Earl
	Art Unit	3694
	Examiner Name	Susanna M. Meinecke Diaz
Total Number of Pages in This Submission	Attorney Docket Number	6476/53629

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance communication to (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Response to Notice of Non-Compliant Amendment
Remarks: _____		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Law Office of Mark J. Spolyar		
Signature	/Mark James Spolyar/		
Printed name	Mark James Spolyar		
Date	August 28, 2007	Reg. No.	42164

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Mark James Spolyar	Date	August 28, 2007

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: R. Pruitt	)	
Applicant's Ref: 6476/53629	)	Examiner: Susanna M. Meinecke Diaz
Serial No.: 10/037,563	)	
	)	Group Art Unit: 3694
Filed: December 21, 2001	)	
	)	Date: August 28, 2007
Title: <b>PORTFOLIO MANAGEMENT SYSTEM</b>	)	
	)	Confirmation No.: 7111

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Dear Sir:

In response to the Notice of Non-compliant Amendment, dated August 10, 2007, please consider the following remarks. As required in the Notice, Applicant is hereby supplying the text of the withdrawn claims and is further supplying a copy, contained in this same paper, of the previously-filed Response to Restriction Requirement that was filed on May 23, 2007

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/arguments begin on page 10 of this paper.

Attny Dkt. No.: 6476/53629

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